

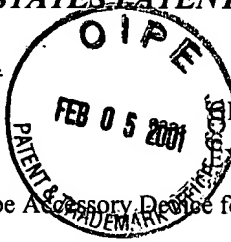
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Nordstrom et al.

SERIAL NO.: 09/591,706

FILED: June 9, 2000

TITLE: An Optical Probe Accessory Device for Use in In Vivo Diagnostic Procedures



GROUP NO.: 3737

EXAMINER: Not Yet Assigned

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, DC 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

- Attached is a copy of the official filing receipt received from the Patent Office for the above-identified application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted***Error In******Correct Data***

- ☐ Applicant's name
- ☐ Applicant's address
- ☒ Title
- ☐ Filing Date
- ☐ Serial Number
- ☐ Foreign/PCT Application Re:
- ☒ Other

- 
- 
- An Optical Probe Accessory Device for Use in In Vivo Diagnostic Procedures
- 
- 
- 
- This application claims benefit of 60/138,235 06/09/1999 and is a CIP of 09/481,762 01/11/2000 which claims benefit of 60/115,373 01/11/1999 and is a CIP of 09/241,806 02/02/1999 which is a CIP of 08/782,936 01/13/1997 PAT 6,104,945

Date: February 5, 2001  
Reg. No. 41,418

Tel. No.: (617) 248-7240  
Fax No.: (617) 248-7100

2022744

Respectfully submitted,

Patrick R. H. Waller  
Agent for Applicant(s)  
Testa, Hurwitz, & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

## EXPRESS MAIL MAILING LABEL

No. EL280660257US

## FILING RECEIPT



\*OC000000005294675\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/591,706         | 06/09/2000  | 3737         | 0             | MDS-012         | 5        | 51         | 8          |

021323  
TESTA HURWITZ & THIBEAULT  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110

Date Mailed: 08/03/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

ROBERT NORDSTROM, HANOVER, MA ;  
DAVID BEE, GROTON, MA ;  
MARK MODELL, NATICK, MA ;  
ZE'EV HED, NASHUA, NH ;  
JENNIE KWO, CAMBRIDGE, MA ;  
MATTHEW EMANS, BOSTON, MA ;RECEIVED  
PATENT DEPT. OF COMMERCE  
TESTA, HURWITZ & THIBEAULT, LLP

## Continuing Data as Claimed by Applicant

AND ~~THIS APPLICATION IS A CIP~~ **CLAIMS BENEFIT** OF 60/138,235 06/09/1999 \*  
AND ~~WHICH IS A CIP~~ **IS A CIP** OF 09/481,762 \* 01/11/2000  
AND IS A CIP ~~WHICH CLAIMS BENEFIT~~ OF 09/241,806 02/02/1999  
WHICH ~~CLAIMS BENEFIT~~ OF 08/782,936 01/13/1997 PAT 6,104,945  
(\*) Data inconsistent with PTO records.  
**IS A CIP**

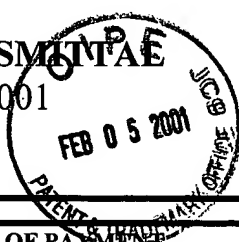
## Foreign Applications

If Required, Foreign Filing License Granted 08/03/2000

## Title

AN OPTICAL PROBE ACCESSORY DEVICE  
FOR USE IN IN VIVO DIAGNOSTIC PROCEDURES

**FEE TRANSMITTAL**  
FY 2001



Complete if Known

|                           |                  |
|---------------------------|------------------|
| Application Serial Number | 09/591,706       |
| Filing Date               | June 9, 2000     |
| First Named Inventor      | Nordstrom        |
| Group Art Unit            | 3737             |
| Examiner Name             | Not Yet Assigned |
| Attorney Docket No.       | MDS-012          |

**METHOD OF PAYMENT**

1. ☒ Payment Enclosed:  
☒ 2 Checks ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.

- ☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.

3. ☒ Applicant claims small entity status.

**FEE CALCULATION****1. FILING FEE**

| Fee Description        | Fee Paid |
|------------------------|----------|
| Utility filing fee     | 690.00   |
| Design filing fee      |          |
| Provisional filing fee |          |

|              | Number Filed | Number Extra | Amount |
|--------------|--------------|--------------|--------|
| Total Claims | 51           | - 20 = 31    | 558.00 |

|                    |   |         |        |
|--------------------|---|---------|--------|
| Independent Claims | 8 | - 3 = 5 | 390.00 |
|--------------------|---|---------|--------|

☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL: 1,638.00

SMALL ENTITY DISCOUNT: 819.00

SUBTOTAL (1) (\$) 819.00

**2. AMENDMENT CLAIM FEES**

| Claims Remaining After Amend.                                      | Highest No. Previously Paid For | Present Extra | Rate         | Fee Paid |
|--|---------------------------------|---------------|--------------|----------|
| Total  | -                               | =             | x \$ 18.00 = |          |
| Indep.   | -                               | =             | x \$ 80.00 = |          |
| <input type="checkbox"/> First Presentation of Multiple Dep. Claim |                                 |               | + \$270.00 = |          |

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 130                   | 65                    | Surcharge - late filing fee or oath                            | 65.00    |
| 50                    | 25                    | Surcharge - late provisional filing fee or cover sheet         |          |
| 130                   | 130                   | Non-English specification                                      |          |
| 2,520                 | 2,520                 | For filing a request for reexamination                         |          |
| 110                   | 55                    | Extension for reply within first month                         |          |
| 390                   | 195                   | Extension for reply within second month                        |          |
| 890                   | 445                   | Extension for reply within third month                         |          |
| 1,390                 | 695                   | Extension for reply within fourth month                        | 695.00   |
| 1,890                 | 945                   | Extension for reply within fifth month                         |          |
| 310                   | 155                   | Notice of Appeal   |          |
| 310                   | 155                   | Filing a brief in support of an appeal                         |          |
| 270                   | 135                   | Request for oral hearing                                       |          |
| 130                   | 130                   | Petitions to the Commissioner                                  |          |
| 50                    | 50                    | Petitions related to provisional applications                  |          |
| 180                   | 180                   | Submission of Information Disclosure Statement                 |          |
| 710                   | 355                   | Filing a submission after final rejection (37 CFR 1.129(a))    |          |
| 710                   | 355                   | For each additional invention to be examined (37 CFR 1.129(b)) |          |

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$) 760.00

SUBTOTAL (1) 819.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 760.00

TOTAL (\$) 1,579.00

**CORRESPONDENCE ADDRESS**

Direct all correspondence to:

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**SIGNATURE BLOCK**

Respectfully submitted,

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